

For questions 10 – 13, if the answer is yes, please attach a letter of explanation and a copy of the final order.

10. Have you ever been denied an auctioneer license in this state or another state? Yes No
11. Has disciplinary action ever been taken against your auctioneer license or other professional license in this state or another jurisdiction, or is there a current investigation? Yes No
12. Have you ever been convicted on any state/federal felony or misdemeanor charge, other than a minor traffic violation? Yes No
13. Has a judgment involving personal property or real estate ever been obtained against you or any partner or shareholder of your firm? Yes No
14. All Kentucky Auctioneer licenses expire June 30th. If applying for a license in May or June, please indicate preference:
 Issue license as soon as possible Issue license effective July 1st
15. If applying as an apprentice, your Principal Auctioneer must have a KY issue Principal Auctioneer license.
Name of Principal Auctioneer _____ License Number: _____

16. Applicant's Certification and Authorization

I hereby submit the fee for an auctioneer license through reciprocity in conformity with the Auctioneer Law (KRS 330) and Regulations (201 KAR 3) of the Commonwealth of Kentucky. I certify that all the information contained on this application and attached material is true and correct. I understand that all principal auctioneers conducting an auction in Kentucky are required, themselves or through their auction company, to maintain a Sales and Usage Tax permit reporting number with the Kentucky Department of Revenue.

I also hereby authorize an investigation into all educational, criminal, and civil records which may be relevant to this application. This authorization shall serve as a release of all liability to all parties furnishing such information to the Kentucky Board of Auctioneers and their authorized agents, as well as to the Board for use of such information.

If I am not a resident of Kentucky, I hereby irrevocably consent, stipulate, and agree that suits and actions may be commenced against me in the proper court of any county in the Commonwealth in which a cause of action arises, by the service of any process or pleading authorized by the laws of the Commonwealth of Kentucky on the Secretary of the Kentucky Board of Auctioneers. That service of such process or pleadings on said Secretary shall be taken and held in all courts to be as valid and binding as if the service had been made upon me in the Commonwealth of Kentucky.

DATE: _____ SIGNATURE: _____

17. Notary Certification

I certify this application was subscribed and sworn to before me by _____.

On this _____ day of _____, 20_____.

NOTARY SIGNATURE: _____

My commission in the state of _____ expires on _____.