



# KENTUCKY BOARD OF AUCTIONEERS

500 Mero Street, 2NE09  
Frankfort, Kentucky 40601  
(502) 782-0722  
<http://auctioneers.ky.gov>

## LICENSE STATUS AND INFORMATION UPDATE FORM

1. LICENSEE INFORMATION					
Last Name,		First Name		Middle Initial	License Number
Business Address			Is this a new address? <input type="checkbox"/> Yes <input type="checkbox"/> No		Business Phone
City		County		State	Zip
Home Address (May NOT be a P.O. Box)			Is this a new address? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cell Phone
City		State	Zip	Email	
I wish to: <input type="checkbox"/> Update my license information. Proceed to Section 2. (Check all that apply) <input type="checkbox"/> Request license documentation. Proceed to Section 3. <input type="checkbox"/> Change my escrow status. Proceed to Section 4. } <b>Finally, complete the Certification in Section 5.</b>					
<b>NOTE:</b> Each request must be submitted with the appropriate fee(s) paid by <b>Check or Money Order</b> made out to the <b>Kentucky State Treasurer</b> . You must complete a separate form for each license you hold.					

2. INFORMATION UPDATE	
Check all that apply. Attach additional pages as necessary.	
<input type="checkbox"/> <b>Name Change:</b> <input type="checkbox"/> Business or <input type="checkbox"/> Personal	
Old name:	New name:
<input type="checkbox"/> <b>Address Change:</b> <input type="checkbox"/> Business or <input type="checkbox"/> Personal <b>\$15.00 FEE</b>	
What was your old address?	
<input type="checkbox"/> <b>Change of Principal Auctioneer</b> <b>\$15.00 FEE</b>	
Name and License # of new Principal Auctioneer	<b>NOTE:</b> Your new Principal Auctioneer must sign the Affirmation of Principal Auctioneer in Section 6.

3. LICENSE DOCUMENTATION REQUEST		
<input type="checkbox"/> <b>Duplicate License:</b> <b>\$15.00 FEE</b>		
Your duplicate license will be mailed to the business address on file with KBA.		
<input type="checkbox"/> <b>Letter of Good Standing</b> <b>\$5.00 FEE</b>		
<b>Mail the letter to:</b> <input type="checkbox"/> me at my business address; OR <input type="checkbox"/> the address indicated below.		
Mail my Letter of Good Standing to:		
Name	Address	
City	State	Zip



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## 4. CHANGE ESCROW STATUS

**NOTE: YOU MUST RENEW YOUR LICENSE EVERY YEAR WHILE IT IS IN ESCROW OR IT WILL BE CANCELLED!**

Place my license in escrow. **NO FEE**

Desired Effective Date:

Take my license out of escrow.

REACTIVATION FEE \$125.00\*  
ANNUAL RECOVERY FEE \$30.00 } TOTAL FEE \$155.00

**NOTE: IN ORDER TO COME OUT OF ESCROW, YOU MUST ATTACH TO THIS APPLICATION THE FOLLOWING:**

- (1) PROOF OF YOUR COMPLETION OF THE CORE COURSE WITHIN THE LAST 30 DAYS; AND
- (2) AN FBI CRIMINAL BACKGROUND CHECK THAT IS LESS THAN 90 DAYS OLD.

**I am licensed as:** (Choose ONE. You must fill out a separate request for each license you hold.)

Principal Auctioneer    Auction House Operator    Limited Livestock Auctioneer    Apprentice Auctioneer\*

I would like my license taken out of escrow and reactivated effective \_\_\_\_\_ (date).

\* **VETERANS:** Check Here if you are seeking reactivation within 60 days following a period of active duty military service and, if you have been discharged, your discharge was honorable. To have your Reactivation Fee waived, you must attach to this application a copy of the orders terminating your period of active duty military service.

**You still must pay the \$30.00 Annual Recovery Fee.**

Has disciplinary action ever been taken against any professional license you hold, in any state?

Yes    No

If yes, please attach an additional sheet explaining the circumstances.

Have you been charged with or convicted of any state or federal misdemeanor or felony crime since placing your license into escrow, until now?    Yes    No

If yes, please attach an additional sheet explaining the circumstances.

**\*Apprentice Auctioneers:** Your Principal Auctioneer must sign the Affirmation of Principal Auctioneer in Section 6, below!

## 5. CERTIFICATION OF LICENSEE

I hereby swear or affirm, under the penalty of perjury, that the statements and assertions made in this form are true, complete and correct. I further authorize the Kentucky Board of Auctioneers to investigate and confirm the information submitted in this application and all attachments.

Signature of Licensee

Date

## 6. AFFIRMATION OF PRINCIPAL AUCTIONEER

I, \_\_\_\_\_, am a Principal Auctioneer licensed by the State of Kentucky, License Number \_\_\_\_\_ and, by signing below, I hereby accept the responsibility of supervising the above-referenced applicant as an Apprentice Auctioneer in accordance with the provisions of KRS Chapter 330.

Signature of Principal Auctioneer

Date

X