



KENTUCKY BOARD OF AUCTIONEERS

500 Mero Street, 2NE09
Frankfort, Kentucky 40601
(502) 782-0722
<http://auctioneers.ky.gov>

AUCTIONEER LICENSE APPLICATION

APPLICANT INFORMATION					
I am applying for: (Choose ONE)		<input type="checkbox"/> Apprentice Auctioneer	<input type="checkbox"/> Auction House Operator	<input type="checkbox"/> Check here if you are a Reciprocal License Applicant	
		<input type="checkbox"/> Principal Auctioneer*	<input type="checkbox"/> Limited Livestock Auctioneer		
Your name as it will be printed on your license (e.g. John P. Doe)			Cell Phone		
			Email		
Mailing Address			Residence Address (required)		
City		State	City		State
County		Zip	County		Zip
Are you at least eighteen (18) years old? <input type="checkbox"/> Yes <input type="checkbox"/> No					
Have you ever been convicted of any state or federal misdemeanor or felony crime? <input type="checkbox"/> Yes <input type="checkbox"/> No					
Attach an FBI national criminal background check dated less than sixty (60) days from the date of this application.					
NOTE: If you are NOT A RESIDENT OF KENTUCKY, you must also complete Schedule A, attached to this form.					
*If you are now applying to be a principal auctioneer and you are: (1) currently an apprentice; who (2) took the exam; and (3) completed an approved 80-hour auction course; and was (4) licensed between 6/30/2010 and 7/1/2015 , then your current Principal Auctioneer must complete Schedule B , attached to this form.					
FEES:	(1) Each application must be submitted with the appropriate fee(s) paid by Check or Money Order made out to the Kentucky State Treasurer .				
	(2)	<u>INITIAL LICENSE FEE</u>	<u>\$125.00</u>	} TOTAL FEE \$155.00*	
	<u>RECOVERY FEE</u>	<u>\$30.00</u>			
*Reciprocal License Applicants: If you are applying for a Reciprocal License because you are already licensed in another state, you must also complete Schedule C , attached to this form.					
Submit your licensing fees in accordance with Schedule C, not the fees outlined above.					
PRINCIPAL AUCTIONEER, AUCTION HOUSE OPERATOR, AND LIMITED LIVESTOCK APPLICANTS ONLY					
Auction House / Business Name					
Business Address			Bus. Phone		
			Bus. Email		
City		County		State	Zip
CERTIFICATION AND AUTHORIZATION					
I, _____, hereby submit this application, its attachments, and all related fees in conformity with the Auctioneer Law (KRS Chapter 330) and Regulations (831 KAR Chapter 1) of the Commonwealth of Kentucky. I certify that all the information contained in this application and attached material is true and correct. I also hereby authorize an investigation into all educational, criminal, and civil records which may be relevant to this application. This authorization shall serve as a release of all liability to all parties furnishing such information to the Kentucky Board of Auctioneers and their authorized agents, as well as to the Board for use of such information.					
Signature of Applicant				Date	
X					



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SCHEDULE A CONSENT TO JURISDICTION AND SERVICE OF PROCESS

I, _____ (print full name), being an applicant seeking a Kentucky Auctioneer or Auction House Operator license who resides outside of Kentucky, do hereby give irrevocable consent that legal actions may be commenced against me in the Kentucky county in which the events giving rise to the cause of action occurred. I further consent to the Board of Auctioneers acting as my agent for the purpose of receiving service of process when no other agent is named or if no correct address is provided for service of process. I stipulate and agree that service of process in accordance with the Kentucky Rules of Civil Procedure is proper service and subjects me to the jurisdiction of Kentucky Courts.

Signature of Applicant

Date

X

Sworn to before me this _____ day of _____,

Notary Seal

Notary Public State of _____

County of _____

My Commission Expires _____

Notary Signature _____



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SCHEDULE B CERTIFICATION OF PRINCIPAL AUCTIONEER

I, _____ (print full name), a Principal Auctioneer licensed by the State of Kentucky, license number _____, hereby certify that Apprentice Auctioneer, _____ (print full name), license number _____, has participated actively and materially in at least ten (10) auctions. I further certify that the dates of those auctions and the names of the sellers of the property auctioned at them are accurately listed below.

Seller's name / auction date	Seller's name / auction date
1.	6.
2.	7.
3.	8.
4.	9.
5.	10.

Signature of Principal Auctioneer

Date

X

Sworn to before me this _____ day of _____,

Notary Seal

Notary Public State of _____

County of _____

My Commission Expires _____

Notary Signature _____



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SCHEDULE C: RECIPROCAL LICENSE APPLICATION ADDENDUM

Last Name	First Name	M.I.	Attach a current 2x2 passport-quality photo of yourself in this space
Social Security Number	Date of Birth		

You must request a **letter of good standing** from the licensing agency in your home-state and either: (Check one)

Attach it to this application; OR

Request that the licensing agency send it directly to the Board.

FEES:

(1) Each application must be submitted with the appropriate fee(s) paid by **Check or Money Order** made out to the **Kentucky State Treasurer**.

(2) You must pay the \$30.00 Recovery Fee PLUS the Initial License Fee based on your home state:

AR, FL, GA, IN, MA, OH, PA, TN, VA, WI, and WV applicants pay \$125.00 + \$30.00 for a **TOTAL FEE of \$155.00**

AL, LA, NC, and SC applicants pay \$150.00 + \$30.00 for a **TOTAL FEE OF \$180.00**

MS applicants pay \$195 + \$30.00 for a **TOTAL FEE OF \$225.00**

Have you ever been denied any type of professional license, including an auctioneer license in Kentucky or any other state? **Yes** **No** If yes, attach documentation explaining the circumstances of the denial.

Has disciplinary action ever been taken against any professional license you hold or have held in Kentucky or any other state? **Yes** **No** If yes, attach documentation explaining the circumstances of the discipline.

Has a judgment involving personal property or real estate ever been obtained against you or any partner or shareholder of your firm? **Yes** **No** If yes, attach documentation explaining the judgment.

Have you ever been licensed by the Kentucky Board of Auctioneers before? **Yes** **No**
If yes, state your license number and the date your license was cancelled. _____

ALL KENTUCKY AUCTIONEER LICENSES EXPIRE ON JUNE 30TH. If you are applying for a license and it is almost June 30th, choose one of the following:

Issue my license as soon as possible – I understand that I will have to renew it very soon; OR

Do not issue my license until July 1st so I will not have to renew it until next June.

*** If you are applying to be an Apprentice Auctioneer,** you must have a licensed Kentucky Principal Auctioneer accept you as an Apprentice by completing and signing the Affirmation of Principal Auctioneer, at the bottom of this form.

Signature of Applicant X	Date
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*Affirmation of Principal Auctioneer (Apprentice Applicants Only)

I, _____, am a Principal Auctioneer licensed by the State of Kentucky, License Number _____ and, by signing below, I hereby accept the responsibility of supervising the above-referenced applicant as an Apprentice Auctioneer in accordance with the provisions of KRS Chapter 330.

Signature of Principal Auctioneer X	Date
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