

# KENTUCKY AUCTION NEWSLETTER

<http://auctioneers.ky.gov>

Official Publication of the Kentucky Board of Auctioneers

September 2003 No. 32

## GOVERNOR PATTON APPOINTS BOARD MEMBERS



Governor Paul Patton appointed **M. J. Kurtz Williams** to the Kentucky Board of Auctioneers, with a term expiring 06/30/06. Commissioner Williams is the first female Board member and one of the first female Principal Auctioneers licensed in Kentucky. M. J. Kurtz Williams has conducted auctions from Garrard County to Hickman County in Kentucky as well as southern Indiana, southern Illinois, Missouri, and Tennessee.

Mrs. Williams and her husband, Robert M. Williams, have two daughters, Molly Williams Sherrell, deceased, and Nancy Williams of Louisville. They have three granddaughters. Robert Williams is a farmer. Commissioner Williams is the daughter of retired auctioneer George Kurtz. Her siblings are also in the auction business – Bill Kurtz in Owensboro and Kelly Kurtz Goetz in Morganfield.



Commissioners **Samuel Ray Godby** and **Clarence A. Yancey** have been reappointed to the Kentucky Board of Auctioneers by Governor Paul Patton, with terms expiring 06/30/06.

Commissioner Godby has been licensed as a principal auctioneer since 1962 and has been a Board Member since February 1989. Mr. Godby and his wife Joan, deceased, have three daughters Julie Hamilton (principal auctioneer), Debbie Rogers and Donna Ray. Commissioner Godby is auctioneer, broker and owner of Century 21 Godby Realty and Auction Company in Somerset.

Commissioner Yancey has been the consumer member on the Kentucky Board of Auctioneers since September 2000 and is the elected Board Vice-Chairman. Mr. Yancey is retired from the City of Louisville. Commissioner Yancey resides in Louisville with his wife Sheila, sons Clarence Allen II, Kenneth, Todd Steven, Shawn, and daughter Yolanda.

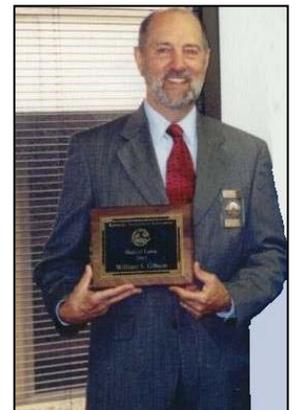
---

## FAREWELL WILLIAM S. GIBSON, CAI, GRI

With the appointment of a new commissioner, we have to say goodbye to Commissioner William S. Gibson. Mr. Gibson has served the Kentucky Board of Auctioneers from July 1998 through June 2003. Bill has been and is an asset to this Board. As a commissioner, he was a staunch supporter of auction house and auctioneer inspections for enforcement of the license law.

Bill is Principal Auctioneer of Action Auction & Realty. He and his wife, Joann, live in Prestonsburg in Floyd County.

The Board Members and staff send their best wishes to Bill and Joann. Marilyn and Janet extend an open invitation to visit us anytime.



## IN MEMORY

The Kentucky Board of Auctioneers is sad to report that two former Commissioners died this year. Walter Wayne Kessler of Campbellsville passed away on May 3<sup>rd</sup>. He was an original Board member when the Kentucky Board of Auctioneers was created. Mr. Kessler served on the Board from 1962 through 1973. John P. O'Connor of Owensboro died in March. He served on the Board from 1979 through 1998.

Both men served as Chairmen during their long terms on the Board. Each served with enthusiasm and imparted their auction business knowledge to the licensee population. Mr. Kessler and Mr. O'Connor were active in the Kentucky Auctioneers Association and the National Auctioneers Association. Both auctioneers are the select members of KAA Hall of Fame and NAA Hall of Fame. Both Wayne Kessler and John O'Connor will always be remembered and respected for their contributions to all auctioneers in the Commonwealth of Kentucky.

The Kentucky Board of Auctioneers sends their sincere condolences to friends and families of the following auctioneers:

Allen G. Boutwell A1972, AHO2341, Whitley  
Bobby L. Bryant RP7216, Lebanon TN  
Thomas R. Carter RP7142, Lafayette TN  
James H. Curry III A310, AHO5095, Clay KY  
Finis Durrett P1842, Greensburg KY  
George D. Elkins P1831, Stanton KY  
Jack S. Gray P1687, Lebanon KY  
Earl M. Hamm P1130, Carlisle KY  
Carter L. Humphrey P2263, Battletown KY

Emmett G. Moore P2043, Winchester KY  
Roland L. Roberts RP7259, Camden OH  
Charles Edward Sheats P865, Cynthiana KY  
Billy W. Towe P1385, Scottsville KY  
Vernon R. Walker P2283, Owenton KY  
Basel Walling P1267, Harrodsburg KY  
Lamar B. Whitmire Jr. RP7034, Chattanooga TN  
Martin W. Willson P2004, Dixon KY



## NATIONAL REPRESENTATION

Commissioner Stephen D. Lewis was reelected as Treasurer of the National Auctioneers Association (NAA). Janet McWilliams was elected Vice-President of the National Auctioneers License Law Officials Association (NALLOA). Former Board executive secretary Barbara Schoen is the secretary of NALLOA. Being active nationally allows the employees to bring back to Kentucky relevant information from and about other states, auction trends, and contacts for seminar presenters and other state licensing personnel.

## NURSERY STOCK UPDATE

Anyone who sells nursery stock (trees, shrubs, perennials, daylilies, sod) in Kentucky needs to have either a nursery license or a nursery dealer license. A nursery license to sell annual flowers or vegetable plants is not required.

Auctioneers would be considered a Class B dealer which has a \$25 per year license fee. The license is valid from January 1 through December 31. *Joseph Collins, Nursery Inspector, (859)257-5838*

<http://www.uky.edu/Agriculture/NurseryInspection/toc.htm>

## 2003 LICENSE RENEWAL

License renewal forms were mailed to all licensees during the last week of May. Late renewal forms were mailed in mid-July. By the end of August, a total of 2,432 licensees are renewed and 208 licensees are not renewed.

If continuing education has been earned from July 1, 2002 through June 30, 2003, please submit proof of CE, the late renewal form and applicable fee. If not received by December 31<sup>st</sup>, the exam is required. If continuing education was not completed by June 30, 2003, the exam is required.

To renew an escrowed license, submit the fee of \$150 and renewal form. If not received by December 31<sup>st</sup>, the exam is required.

Reciprocal License: If continuing education has been completed by June 30, 2003, a reciprocal licensee submits proof of CE, the late renewal form, applicable fee and letter of good standing from the home jurisdiction by December 31<sup>st</sup>. If CE has not been completed by 6/30/03, a new application and attachments are required.

Persons who have not renewed by September 10, 2003 are listed. **Licenses are canceled as of 7/1/03 and these persons may not perform auctioneering activities.** Since this newsletter is mailed to all licensees who have renewed AND to those who have not renewed, please check to see if your name is on the list. Is someone you know on the list?

**APPRENTICE AUCTIONEERS**

John A. Armes A274  
Tonda W. Baldwin A626  
Phillip Ball A41  
C. Neal Ballard A342  
Connie D. Bearden A79  
Hershel G. Bell A895  
Rusty D. Bennett A58  
Kimberly A. Bickett A640  
James B. Birkhead A664  
Stephen K. Brewer A957  
N. Adam Brockman A928  
Wendell W. Byrd A967  
Joyce G. Carter NA786  
Brian K. Chappell A244  
James S. Eades A257  
Lyle T. Farmer A733  
Curtis P. Flanary A703  
Robert J. Gaffney A196  
Robert L. Gardner A671  
Ben A. Graves A831  
Robert E. Groves A841  
John A. Harned A496  
Dennis D. Harrell A230  
Terry L. Harville A792  
Eric S. Hatcher A600  
William C. Hatfield A107  
Douglas T. Hawkins A92  
Charles W. Hebel A35  
Ishmael Hopkins A920  
Jesse L. Hurst A678  
Bradley S. Johns A2205  
Gerald R. Jones A105  
C. Kenneth Kenner A307  
Donald R. Lane A631  
Jeffrey N. Levein A599  
Ralph D. Long A680  
Crystal L. Lowe A313  
Gerald M. Ludwig A943  
Mary Lee Mahr A318  
Billy J. Martin A760  
John C. Mason A358  
Jason Maynard A2222  
James T. McGuire A682  
Timothy H. McNabb A1991  
Bonnie L. Meade A329  
Richard D. Moore A323  
Billy S. Napier A622  
Jonathan H. Nation A326  
C. Ray Newton A507  
Kenneth L. O'Bryan A580  
Chad R. Parmley A497  
Jason L. Parsley A452  
William E. Potts, Jr. A933

Bradley K. Powell A972  
Gregory Leo Raymer A2140  
Henry C. Reece NA568  
Michael Patrick Regan A2352  
Whitney L. Roberts A115  
Robert L. Sears A557  
Oscar M. Seymore, Jr. A434  
Russell A. Shelton A338  
Eddie M. Sipes A185  
Charles A. Smith A280  
J. Gary Smith A731  
Jason T. Speake A405  
Billy Joe Strader A645  
Joseph W. Thomason A290  
Darrell W. Towe A481  
Eddie L. Turner A654  
Ronald J. Velotta A984  
E. Frank Vinson A677  
Chad T. Walker A681  
Todd S. White A346  
Giles B. Williams A583

**AUCTION HOUSE OPERATORS**

Kenneth H. Adams AHO6170  
Jerry L. Barbee AHO5039  
David S. Blackburn AHO5021  
Kenneth R. Bray AHO5048  
Kenneth R. Bray AHO5122  
Mary R. Brown AHO5025  
Sandra E. Centers AHO5150  
Jim J. Chatman AHO814  
Glenda J. Cooper AHO5113  
William F. Copher AHO906  
Linda Echler AHO1577  
Bart B. Guenther AHO797  
Billy D. Haire AHO5030  
Lisa Caroleen Jeter AHO2106  
Chris Loy AHO2006  
Ruth Ann Merrick AHO1490  
Karim Momeni AHO810  
Nancy E. Roy AHO5006  
Ronnie R. Smith AHO5082  
Eddie L. Turner AHO5044  
Alice G. Wilson AHO5129  
Jeanie' McMMain Yates AHO2344

**LIVESTOCK AUCTIONEERS**

Charles T. Rice L6150

**PRINCIPAL AUCTIONEERS**

Joyce P. Anderson P1351  
Kenneth J. Ardiel NP1349  
Roger P. Ash NP6056  
Bobby W. Bohannon P1783  
Anthony S. Brewer P627  
Larry R. Bryant P392  
Robert W. Claud P524

George L. Cunningham P1812  
David L. Daniel P1846  
Homer L. Day P1922  
Tony L. Durham P1854  
Richard D. Farris P541  
John L. Finley, III P1629  
Greg M. Forke NP6039  
Ted J. Forke NP6120  
Marshall Freeman P1118  
Kenneth Fulcher NP1762  
Douglas T. Gallimore NP835  
Walton R. Haddix P1849  
Paul D. Hancock NP6079  
Robert W. Hansford P2195  
Joseph L. Hare P526  
Jeffrey V. Hatton P488  
Jody D. Herrin P1075  
Sanford Holbrook, Jr. P710  
Burnam Hudson P1002  
William J. Jackson P1573  
Charles A. Jenkins P1143  
James E. Jeter P1424  
Robert A. Leathers P1798  
Kay S. Ledbetter P1290  
Paul F. Mik, Jr. P1151  
James D. Miller P1170  
William E. Miracle P1592  
Paul Moore P1343  
Michael J. Moynahan P548  
Ronald G. Parsley P1358  
Jerry L. Peek P1390  
Larry D. Pierson P353  
Michael S. Purnell P1062  
Dwight C. Reed P1571  
Larry G. Robinson P1814  
James A. Roe P1500  
Timothy T. Smith P1963  
Patrick P. Stanton P1643  
Ellis Stewart P389  
William S. Stewart P1166  
Kenneth B. Sullivan P565  
John C. Sumpter, Jr. P2114  
Fred W. Swarts P1309  
Jeffrey T. Thornton P1944  
Logan R. Towery P1461  
Larry H. Walsh P798  
Earl R. Warren P1641  
Aaron R. White P1555  
Allen R. White P1554  
Walter B. Wilkerson NP6054  
Larry G. Wills P1678  
Aaron D. Wilson P1282

**RECIPROCAL AUCTIONEERS**

William Edgar Abernathy RP7414

David R. Ait RP982  
Mitchell T. Ashby RP7302  
Michael D. Baker RP970  
Thomas Edward Barnes, III RP818  
Billy R. Barnfield RP7189  
Brandon S. Beck RP6168  
James R. Brenz RP7284  
Jeffery P. Bureson RP7377  
James H. Calloway RP1372  
Vincent E. Cianci RP7294  
Bobby J. Conley RP7198  
C. Wesley Cowan RP812  
David D'Amico RP820  
Donald R. Davis RP2187  
J. Byran Davis RP7417  
Tracy A. Denney RP2049  
Robert A. Dones RP7290  
Steve J. Dorran RP7392  
Jack T. Earlywine RP7044  
Hollis L. Foust RP1834  
Ralph B. Handy RP7170  
Casey L. Hartsook RP7204  
James E. Henry RP7118  
Preston Carvin Hill RP949  
Sandy L. Houston RP1006  
Patricia A. Howard RP7124  
Janet L. Isenhour RP1848  
Glen Kalil RP7101  
Jeffrey L. Knosp RP7099  
Darin K. Lawson RP6175  
Lynn C. Lee RP7145  
Ian M. Liebgott RP7405  
Nancy Maiden RP7406  
Alfred J. Marsh RP946  
Jamie David Martin RP2033  
William N. McKee RP7121  
Richard E. Meier RP7109  
Ronald L. Morrison RP901  
Timothy Joseph Paranzino RP2200  
Richard G. Rutherford RP1612  
Wade Alan Shaw RP2292  
Carl J Theorin RP2349  
Jack W. Thompson RP7017  
Howard B. Todd RP796  
James K. Travis RP7277  
Wiley R. Tyndall RP7070  
Jeffery Bruce Voyles RP2293  
Darrell E. Watson RP1411  
Eddie Webb RP7197  
John W. Wright RP883  
Jimmy E. Wroda RP971

## Legislature Transfers \$476,400 From KBA's Recovery Fund to General Fund

February 4 - Governor presents Budget to the House.

February 5 - the House Appropriations & Revenue Committee propose amendments to the Governor's budget (HB269)

February 19 - the Senate Appropriations & Revenue Committee concurs & amends

Favorably passes both House and Senate floors

March 11 – delivered to Governor

March 20 – vetoed in part

March 23 – Governor's vetoes overridden in part

March 23 – became law without Governor's signature (KRS 48.315)

April 4 - \$476,400 was transferred to the General Fund from the Auctioneer's Education, Research and Recovery Fund.

During this hectic and short period of time, the Board corresponded in person, by phone and email to the legislators and anyone else who may have helped us protect the funds. We were one of many agencies whose funds were raided. Although our law, KRS 330.192(2)(b), mandates that a minimum of \$500,000 be maintained in the Recovery Fund, it is superceded by the 2003 Act, Chapter 156 of Regular General Session. The ending 02/03 fiscal year balance is \$267,550.10. It is forecast that by setting fees at the maximum, as per KRS 330.060 and KRS 330.192, it will take five or six years to replenish the Recovery Fund to the mandated level of \$500,000. Your Board wants you to know that the increased fees were made necessary solely by the fact of the funds being taken.

In 1999, it was reported that 2,598 persons were licensed. In May this year 2,640 renewals were mailed. The Board's operation depends mainly on renewal fees. The interest earned from the recovery fund is applied to the operating account. The annual interest earned before the transfer was between \$40,000 and \$44,000.

Increased expenses in postage, printing, utilities, insurance (personnel & office), equipment cost & maintenance, investigations, etc. have occurred dramatically since 1999. The Board has actively reduced expenses. Most print jobs are processed in-house. Board members & staff have reduced travel expenses. Office electricity is reduced by using only lights in three main rooms of the office, adjusting thermostats in the evening, and turning off equipment every night. The number of seminars is reduced.

## 2003/2004 KBA SEMINARS

Seminar sessions are 9:00 am through 12:00 noon AND 1:00 pm through 4:00 pm LOCAL TIME. Pre-registration is not required. Seminar details will be available at a later date on <http://auctioneers.ky.gov/calendar.html>

WEDNESDAY, NOVEMBER 5, 2003

LEXINGTON, TATTERSALLS SALES PAVILION.  
847 S. BROADWAY (EASTERN TIME)

TWO 3-HOUR SESSIONS

WILLIAM S. ADDIS – HORSE AUCTIONS AND

DAN KELIHER – LIVE GLOBAL BID

DENNIS ASHLEY – BENEFIT AUCTIONS

APRIL 17-19, 2004

\*KENTUCKY AUCTIONEERS ASSOCIATION (KAA), LOUISVILLE

ONE 3-HOUR SESSION

TUESDAY, MAY 4, 2004

PRESTONSBURG, JENNY WILEY STATE RESORT PARK  
CONFERENCE CENTER (EASTERN TIME)

TWO 3-HOUR SESSIONS

TUESDAY, MAY 11, 2004

CADIZ, LAKE BARKLEY STATE RESORT PARK  
CONFERENCE CENTER (CENTRAL TIME)

TWO 3-HOUR SESSIONS

TUESDAY, MAY 25, 2004

SOMERSET, CENTER FOR RURAL DEVELOPMENT  
2292 S. HWY 27 (EASTERN TIME)

TWO 3-HOUR SESSIONS

\*The Kentucky Board of Auctioneers is co-sponsoring a three-hour seminar during the Kentucky Auctioneers Convention to all Kentucky licensed auctioneers. With a nominal registration fee for the entire convention, the additional benefit of camaraderie among fellow auctioneers is priceless. More seminars are offered during the convention to KAA members, as well as the annual bid-calling championship of Kentucky. While your Board cannot promote or endorse private organizations, at the same time, the Board recognizes that a professional organization such as KAA offers many services to KAA members, such as sample contracts, free auction advertising on their website and "The Chanticleer", a quarterly magazine. Check out the Kentucky Auctioneers Association, the National Auctioneers Association and other private professional organizations.

## UPDATE CONTRACTS

The Board has reviewed many cases this summer. Although most contracts state the basics, most could be written better. Does your contract contain a clause explaining absolute auctions? Does your contract contain a clause explaining what happens if the seller refuses to sell after the auction-listing contract is signed? Does your contract cover who is responsible for "bad checks" received from the buyer? Does your contract state specifically who pays for specific expenses? Does your contract have a signature line for the real estate broker and auctioneer? Does your contract contain a clause explaining buyer's premium? The Kentucky Board of Auctioneers does not have sample contracts. It is recommended that the licensee consult his/her personal attorney.

## ADVERTISEMENTS

KRS 330.110 "The board may ... levy fines not to exceed five hundred dollars (\$500) against any licensee ... for any of the following causes: .. (13) ...failure of any licensee to insert in any advertising pertaining to a particular auction sale the name of the auctioneer".

201 KAR 3:005 "Any advertisements pertaining to a particular auction sale must contain the name of the principal auctioneer and indicate that he is an auctioneer".

According to the Merriam-Webster Dictionary: "Advertising is **1**: to make something known, to notify **2 a**: to make publicly and generally known **b**: to announce publicly especially by a printed notice or a broadcast **c**: to call public attention to especially by emphasizing desirable qualities so as to arouse a desire to buy or patronize, to promote."

THEREFORE, advertisements include newspaper ads, brochures, flyers, and internet postings. The Kentucky Board is receiving an increasing number of calls notifying us of illegal advertisements. Directional signs have been determined by the Board not to be applicable to these two sections of the law.

## THE PRINCIPAL & APPRENTICE RELATIONSHIP

1. The Kentucky Board of Auctioneers will hold a principal auctioneer responsible for all auction-related actions of his/her apprentices. Auctioneers have a responsibility and duty to monitor, supervise and train their apprentices.
2. An apprentice auctioneer can advertise for, solicit and negotiate auctions without his/her principal being present, only with the express permission of his/her principal and only on behalf of his/her principal.
3. An apprentice auctioneer cannot sign an auction-listing contract on behalf of his/her principal. Only a principal auctioneer or an auction house operator has the legal authority to enter into an auction-listing contract.
4. If the apprentice auctioneer's name is listed in any type of advertising, the principal auctioneer's name must also be listed.
5. An apprentice auctioneer can handle auction proceeds on behalf of his/her principal with the express permission of his/her principal, but the escrow account must be in the name of the principal auctioneer.
6. With the express permission of his/her principal, an apprentice auctioneer can call bids without the actual presence of his/her principal at the auction. However, the principal auctioneer is still ultimately responsible for the auction. If an apprentice is scheduled to be the primary or only bid caller, the seller should be informed and agree.
7. An apprentice auctioneer may work for another principal auctioneer if his/her principal auctioneer agrees to the arrangement. An apprentice can only receive compensation from the other principal through his/her principal auctioneer.
8. If an apprentice is discharged or quits his/her association with the principal, the principal must complete the release statement on the back of the apprentice's license. The apprentice's new principal writes a letter to the Board accepting the apprentice. The releasing license, new affiliation letter and check for \$10 may be mailed to the Board office by any of the parties involved.
9. The Board mails the apprentice's license to the principal's business address. It is the duty of the principal to distribute the pocket card to the apprentice auctioneer. The pocket card is detached from the top of the license at the perforation.

## LICENSEE ADDRESS ON FILE

The Kentucky Board of Auctioneers records two addresses of an active licensee.

- In the past, one address has been referred to as the home address. It is actually the licensee's mailing address. Renewal notices, newsletters and correspondence are mailed to this address. This address is not published in the Directory or on KBA's website. This may be the same address as the auction business address.
- The other address is an active employee's auction business address. ONLY licenses are mailed to this address. This address is published in the directory and on KBA's website. Only the Principal Auctioneer can change the business address of his or her apprentice.

Understanding that mail is not deliverable to many auction houses, the AHO license is mailed to the 'home' or mailing address. The auction house operator's business address is on the license, in the directory and on KBA's website.

Only the name and license number of a licensee with an escrowed license is published.

If both addresses are changed at one time, the fee is \$10. If only one address is changed, the fee is \$10. Please include the Kentucky county with the change of address request.

## LETTER OF GOOD STANDING / LICENSE CERTIFICATION / LICENSE VERIFICATION

To order one license certification, send a written request stating what state it is for, where it is to be mailed and a check for \$5. These are usually processed on the day the Board receives the request and fee. Some states require this certification to be mailed directly by the Kentucky Board and others may require this certification to accompany the application from the licensee.

## ANNUAL CONTINUING EDUCATION (CE) REQUIREMENTS

To report continuing education credits during June renewal, the licensee must earn the CE hours from July 1<sup>st</sup> of the previous year through June 30<sup>th</sup> of the renewal year.

An apprentice or Auction House Operator who passes the exam is exempt from reporting continuing education (CE) at his/her first renewal only. The licensee starts reporting three (3) hours of CE beginning with his/her second renewal.

An apprentice who passes the principal exam and becomes licensed as a principal, reports three (3) hours at the first renewal as principal. Thereafter he/she reports six (6) hours.

Reciprocal licensees report six (6) hours of CE at the annual June renewal. If the home jurisdiction of the reciprocal licensee requires less hours, the Kentucky Board of Auctioneers honors that number. If the home jurisdiction of the reciprocal licensee requires no CE hours, then that reciprocal licensee must meet Kentucky's requirements of six (6) hours of Continuing Education.

## AUCTION HOUSE OPERATORS

KRS 330.100(2) "Every auction house operator licensed under this chapter shall maintain a definite place of business in the Commonwealth, and shall erect and maintain a sign in a conspicuous place on the premises at or near the outside entrance to all fixed locations. The sign shall be written in clear and legible letters of not less than two (2) inches in height, and shall contain the name of the auction house operator, the fact that he is licensed, and the name of the auction house, if different than the name of the operator. The sign shall be placed so that it can easily be observed and read by anyone entering the auction house."

KRS 330.070(5)(a) "Auction house operators shall display their licenses conspicuously and at all times in their place of business."

An auction house operator's license is particular to one location of an auction house. A licensed auction house operator may apply for an additional auction house license for another location by filing an application and initial fees with the Kentucky Board of Auctioneers. Each license must be renewed.

An apprentice auctioneer may acquire an AHO license by examination. The apprentice with an Auction House Operator license may call the bids at the auction house only with the express permission of his/her principal auctioneer.

A licensed Auction House Operator may notify the Board that he/she wishes to cancel the AHO license after issuance of his/her Principal Auctioneer license. The AHO license is not automatically canceled when the Principal Auctioneer license is issued.

## DOING BUSINESS IN KENTUCKY

The employees of the Kentucky Board of Auctioneers can only respond to questions regarding KRS 330 or related regulations. The Board recommends that the licensee ask the SOURCE for all other clarifications. Listed below are relevant telephone numbers. Most websites of the following state and federal agencies are linked at <http://auctioneers.ky.gov/relinks.html>

Kentucky Sales Tax Revenue Cabinet (502) 564-5170	Corporations in Kentucky Secretary of State (502) 564-2848
Motor Vehicles, County Clerk or Revenue Cabinet, Motor Vehicle Usage Tax Section (502) 564-4455	Alcohol ATF (800) 688-9889 and KY Dept of Alcoholic Beverage Control (502) 564-4850
Firearms ATF (800) 688-9889 and Kentucky State Police (502) 227-8700	Food Products Cabinet for Health Services, Food Safety Branch (502) 564-7181
Mobile/Manufactured Homes KY Dept of Housing, Buildings and Construction Division of Fire Prevention, (502) 573-0365	Underground Storage Tanks KY Division of Waste Management (800) 928-4273 and US Environmental Protection Agency Region 4, (800) 241-1754
Protected Wildlife, Mussels & Fishes, Raw Fur or Processed Wildlife (800) 858-1549	Nursery Stock State Entomologist (859) 257-5838
Car Dealer License Motor Vehicle Commission (502) 564-3750	Kentucky Real Estate Commission (502) 425-4273

### INTERESTING RESEARCH

Go to <http://www.lrc.state.ky.us/search.htm>. Search on the word 'auction'. KRS 330 is the Auctioneers License Law of 1962; but there are many other statutes that refer to auctions. During the legislative sessions, go to <http://www.lrc.state.ky.us/legislat/legislat.htm> and inspect bills that have been introduced.

### KHEAA STUDENT LOANS

[KRS 164.772](#) was enacted in July 2002 mandating that all licensing agencies of the Commonwealth of Kentucky refuse to license or renew a license to those in default of a Kentucky Higher Education Assistance Authority (KHEAA) student loan until the licensee has entered a satisfactory repayment agreement with KHEAA. The Kentucky Board of Auctioneers will submit the names of all licensees to KHEAA for their comparison to their files. From that point on, the Board staff will check KHEAA's database for the candidates applying for initial licensure.

### STATE AUCTIONEER LICENSING AGENCIES

<http://auctioneers.ky.gov/reciprocity.html>

\*denotes active reciprocity agreements as of 8/31/03

*Alabama ..... (334) 269 9990	*North Carolina ..... (919) 567 2844
*Arkansas ..... (501) 682 1156	*Ohio ..... (614) 728 6240
*Florida ..... (850) 488 5189	*Pennsylvania ..... (717) 783 3397
*Georgia ..... (478) 207 1460	*Rhode Island ..... (401) 222 3857
*Illinois ..... (217) 782 2798	*South Carolina ..... (803) 896 4830
*Indiana ..... (317) 232 3891	*Tennessee ..... (615) 741 3236
Kentucky ..... (502) 339 9453	*Texas ..... (512) 463 6599
Louisiana ..... (225) 922 2329	*Virginia ..... (804) 367 8506
*Massachusetts ..... (617) 727 3480	*West Virginia ..... (304) 558 2201
*Mississippi ..... (601) 364 2384	*Wisconsin ..... (608) 266 5439



**COMMISSIONERS**

**J. RANDALL BUSH, CHAIRMAN**

Elizabethtown

**CLARENCE A. YANCEY, VICE-CHAIRMAN**

Louisville

**SAMUEL RAY GODBY**

Somerset

**STEPHEN D. LEWIS**

Morehead

**M. J. KURTZ WILLIAMS**

Sturgis

**ADMINISTRATIVE STAFF**

JANET MCWILLIAMS

Administrative Director

MARILYNN KENNEDY

License Manager

**LEGAL STAFF**

HERBERT VAN ARSDALE, II

Secretary Counselor

LARRY MAHONEY

Compliance Officer

W. RAY HERMAN

Compliance Officer



EMAIL [auctioneers@mail.state.ky.us](mailto:auctioneers@mail.state.ky.us)

To ensure the Board's email system security, email with an auction-related term in the subject line.

WEBSITE <http://auctioneers.ky.gov>

Telephone (502) 339-9453

Fax (502) 423-1854

KENTUCKY BOARD OF AUCTIONEERS  
9112 Leesgate Rd #5  
Louisville, KY 40222

PSRT.STD.  
U.S.POSTAGE  
PAID  
FRANKFORT,KY  
PERMIT NO. 664