



11. Have you ever been denied an auctioneer license in KY or another jurisdiction? YES NO  
If yes, attach a separate statement giving complete details.
12. Has disciplinary action (or ongoing investigation) ever been taken against your auctioneer license or professional license in this jurisdiction or another jurisdiction? YES NO  
If yes, attach a separate statement giving complete details and copy of final order.
13. Have you ever been convicted on any state/federal felony or misdemeanor charge, other than a minor traffic violation? YES NO  
If yes, attach a separate statement giving complete details and a copy of final order.
14. Has a judgment involving personal property or real estate ever been obtained against you or any partner or shareholder of your firm? YES NO  
If yes, attach a letter of explanation and copy of final order.
15. All licenses expire June 30<sup>th</sup>. If applying for a license in May or June, please indicate preference:  
Issue license as soon as possible. (renewal fee is also req'd) Issue license effective July 1<sup>st</sup>.
16. If applying as an apprentice, your Principal Auctioneer must have a KY-issued Principal Auctioneer license.  
NAME OF KY PRINCIPAL AUCTIONEER:

**17. Applicant's Certification**

*I hereby apply for a Principal Auctioneer/Apprentice License through Reciprocity in conformity with the Auctioneer Law (KRS 330) and Regulations of the Commonwealth of Kentucky. I certify that all the information is true and correct. I hereby authorize the police department or credit bureau to avail information about me to the Kentucky Board of Auctioneers. I have submitted this and other items as required. In addition, I have enclosed a check for the Reciprocal Initial fee of \$\_\_\_\_\_, made payable to the Kentucky Board of Auctioneers. I have read and agree to abide by the laws, KRS Chapter 330 and administrative regulations that govern auctioneers in the Commonwealth of Kentucky. <http://auctioneers.ky.gov/law.html>*

*I am responsible to contact the appropriate branch of state government regarding the collection and remission of sales tax.*

*I hereby irrevocably consent, stipulate and agree that suits and actions may be commenced against me in the proper court of any county in the Commonwealth in which a cause of action may arise in which the plaintiffs may reside, by the service of any process or pleading authorized by the laws of the Commonwealth of Kentucky on the Kentucky Board of Auctioneers. That such service of such process or pleadings shall be taken and held in all courts to be as valid and binding as if the service had been made upon me in the Commonwealth of Kentucky.*

DATE: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

**Notary Certification:**

I certify this application was subscribed and sworn to before me by \_\_\_\_\_.  
On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

NOTARY SIGNATURE: \_\_\_\_\_

My commission in the state of \_\_\_\_\_ expires on \_\_\_\_\_.

NOTARY SEAL

## RECIPROCAL PRINCIPAL AUCTIONEER / APPRENTICE APPLICATION INSTRUCTIONS

A reciprocal applicant is an individual holding an auctioneer license from the proper authority of a state, territory, or possession of the United States of America or the District of Columbia for at least one calendar year and that state has a reciprocity agreement with the Kentucky Board of Auctioneers.

- Contact your current license state to forward to the KY Board a "Certification of Licensure/Letter of Good Standing", verifying your initial licensure date, license number, a current active license and status of your license. Some states charge a fee for this service. (This letter/certification may be sent to you by your state and enclosed with this application.)
- Print or type all answers. Do not use a pencil.
- Answer all questions and attach a recent 2"-3" photo.
- Applicant's signature in Section 17 must be witnessed, certified and sealed by any Notary Public.
- Submit the application and attachments, and a check payable to the Kentucky Board of Auctioneers.

The licensure fee correlates to your state of licensure (includes a Recovery Fund fee of \$30).

AL, AR, FL, GA, IN, LA, MA, NC, OH, PA, SC, TN, VA, WI, WV	\$280
MS	\$325
RI	\$430

-- If applying for licensure in May or June, and prefer the license to be effective July 1st, please call the KY Board (270 360-0736) to verify new fiscal year fees.

- All licenses are mailed to the business address. All other correspondence is mailed to the "mailing" address. The business address and business phone numbers are published on the Kentucky Board's website.
- The social security number is only used in-house for verification purposes.

## **SUMMARY OF LICENSING PROCESS**

To change your home &/ business address, submit notice, the license and a check for \$15. The Kentucky Board of Auctioneers does not license firms, only individuals.

The renewal form is mailed to a licensee's "mailing" address in May. The completed renewal and fee must be received by the Kentucky Board on or before June 30th of each year.

**ALL RECIPROCAL LICENSEES MUST SUBMIT A COPY OF YOUR CURRENT LICENSE OR YOUR POCKET CARD FROM YOUR RECIPROCAL LICENSE STATE.**

If your license state requires CE, then the reciprocal licensee does not report continuing education hours to the Kentucky Board of Auctioneers. If the license state does not require continuing education, then the reciprocal licensee must report 6 hours.

Continuing education (CE) is earned between July 1st of the previous year and June 30th of a renewal year. KBA has seminars each year.

The Kentucky Board of Auctioneers accepts continuing education courses from approved providers only. Submit a copy of the proof of continuing education by fax (270) 360-0917, email or mail.

If the continuing education is not earned in the CE year, the license is canceled.

\*Please note, the Board does not maintain this submitted CE documentation after verification.